

Restrictive Procedures in the Adult Autism Waiver (AAW)

ODP Announcement 22-103

AUDIENCE:

AAW Providers and Supports Coordination Organizations (SCOs)

PURPOSE:

Individuals receiving services in the AAW that have a restrictive procedure plan in place must have a corresponding Behavioral Support Plan (BSP) and Crisis Intervention Plan (CIP). The BSP and CIP must be documented in the Individual Support Plan (ISP) in the Home and Community Services Information System (HCSIS) that is overseen by a Behavioral Specialist (BS) that is qualified to provide the BS service in AAW. This includes individuals receiving residential services.

DISCUSSION:

The Office of Developmental Programs (ODP) has been made aware that some AAW providers are implementing restrictive procedure plans for individuals being served in AAW without a corresponding BSP documented in the ISP in HCSIS. This practice is not allowed. A restrictive procedure must always be part of the behavioral support component of the ISP as required by the AAW.

In the AAW, the BSP is included in the ISP in HCSIS. The Desired Behavioral Outcomes (DBOs) of the BSP must be consistent with the Behavior Support Specialist service goals and objectives. There must be an active Behavior Support Specialist goal for each DBO, and each Behavior Support Specialist goal must have a DBO. Additionally, every

Behavior Support Specialist goal must have a corresponding Goal Attainment Scale (GAS) chart and be reported on at least quarterly in a Quarterly Progress Note (QPN).

To have a restrictive procedure plan in place for an AAW participant, the Behavior Support Specialist service must be in the ISP Service Details so that a BS qualified to provide services in the AAW can develop, implement, and train staff on the participant's BSP and CIP with the goal of fading all restrictive procedures over time.

In the AAW, the Behavioral Specialist Service (BSS) is not bundled with the Residential Habilitation service as it is with other ODP waivers. Therefore, to meet the requirements above, AAW participants who are receiving residential habilitation services and have a restrictive procedure plan in place **must** also have active BSS authorized in their ISP. This ensures an AAW qualified BS is working with the participant when a restrictive procedure plan is in place.

Please refer to [Chapter 6100 regulations § 6100.341 – 6100.346](#), [Bulletin 00-21-01 Guidance for Human Rights Teams and Human Rights Committees](#), and [Attachment 2 Individual Plans and Informed Consent](#) for additional information.

Any questions should be sent to the AAW Provider Support Mailbox, [ra-basprovidersupport@pa.gov](mailto:rasprovidersupport@pa.gov).